



Birla Corporation Limited

Corporate Office:  
1, Shakespeare Sarani,  
A.C. Market (2<sup>nd</sup> Flr), Kolkata 700 071  
P: 033 6603 3300-02  
F: +91 033 2288 4426  
E: coordinator@birlacorp.com

REF.NO.BCL/10

16th April, 2021

Corporate Relationship Department  
BSE Limited  
1st Floor, New Trading Ring,  
Rotunda Building,  
P.J. Towers, Dalal Street, Fort,  
Mumbai- 400 001  
**Scrip Code: 500335**

Manager  
Listing Department  
National Stock Exchange of India Limited  
'Exchange Plaza', C-1, Block G,  
Bandra-Kurla Complex, Bandra (East),  
Mumbai- 400 051  
**Scrip Code: BIRLACORPN**

Dear Sir,

**Sub : Compliance certificate pursuant to Regulations 40(10) and 61(4) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Enclosed please find the Certificate pursuant to Regulation 40(10) and 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by the Practicing Company for the half year ended 31st March, 2021.

This is for your information and record.

Thanking you,

Yours faithfully,  
For **BIRLA CORPORATION LIMITED**

**(MANOJ KUMAR MEHTA)**  
Company Secretary & Legal Head

**Encl:** As above



**CERTIFICATE UNDER REGULATION 40(9) AND 61(4) OF THE SECURITIES  
AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

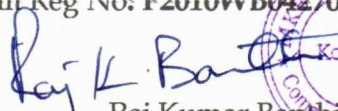
**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

I have examined the Registers, files and other documents relating to **BIRLA CORPORATION LIMITED** maintained by **MCS SHARE TRANSFER AGENT LIMITED** of 383, Lake Gardens, 1<sup>st</sup> Floor, Kolkata - 700 045, Registrar and Share Transfer Agent (RTA), pertaining to transfer of equity shares/Secured Redeemable Non-Convertible Debentures (NCDs) of the Company for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulations 40(9) and 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ the RTA, I hereby certify that:

- a) The Company/RTA has received request for transmission, change of names, deletion of name, renewal and issue of Duplicate Share Certificates during the said half-year i.e. 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 and delivery of share certificates have been made within the stipulated time period;
- b) There were no instances of transfer, sub-division, consolidation, exchange or endorsement of calls/allotment monies with respect to equity shares during the half year ended 31<sup>st</sup> March, 2021.
- c) Since the NCDs issued by the Company are in demat form, no physical debenture certificates were required to be delivered.
- d) Any other information: *Not applicable.*

Note: Due to continuing COVID 19 pandemic, physical verification of the documents has not been done and this certificate has been issued based on the certificate received from the Registrar & Share Transfer Agent of the Company

For MKB & Associates  
Company Secretaries  
Firm Reg No: **P2010WB042700**

  
Raj Kumar Banthia  
Partner

Membership no. 17190  
COP no. 18428

Date: 16.04.2021  
Place: Kolkata  
UDIN: A017190C000105212